



1756

PTO/SB/21 (01-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/836,794
Filing Date	April 17, 2001
First Named Inventor	Jeffrey Stewart
Art Unit	1756
Examiner Name	Kripa Sagar
Attorney Docket Number	STEW-015A

Total Number of Pages in This Submission

4

### ENCLOSURES (Check all that apply)

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
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Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Kit M. Stetina, Esq. STETINA BRUNDA GARRED & BRUCKER
Signature	
Date	February 5, 2003

### CERTIFICATE OF TRANSMISSION/MAILING

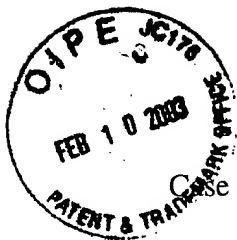
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 5, 2003

Typed or printed Josephine I. Weissberger

Signature Date February 5, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Case STEWT-015A

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#6Amdt  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Jeffrey Stewart**

Serial No.: **09/836,794**

Filed: **April 17, 2001**

For: **METHOD OF  
PREPARING THIN  
SUPPORTED FILMS BY  
VACUUM DEPOSITION**

Group No.: **1756**

Examiner: **Kripa Sagar**

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**RESPONSE TO NOTICE OF  
NON-COMPLIANT AMENDMENT (37 CFR 1.121)**

Box Non Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir/Madam:

This is in response to the Notice of Non-Compliant Amendment dated January 10, 2003. The Amendment filed by Applicant on December 31, 2002 is considered non-compliant for failing to meet the requirements of 37 CFR 1.121. Therefore, by this Response, Applicant hereby resubmits the amendment to the Specification as follows:

**IN THE SPECIFICATION:**

Please replace paragraph [0005] on page 2 with the following rewritten paragraph:

-- In accordance with other aspects of the invention, the substrate is a metal. The metal  
may be stainless steel, brass, silicon or other materials. --

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